

Call title: ENV-2011-ECO-INNOVATION

- **Call identifier:** FP7-ENV-2011-ECO-INNOVATION
- **Date of publication:** 20 July 2010
- **Deadline¹:** 16 November 2010
- **Indicative budget²:** EUR 50 000 000

The budget for this call is indicative. The final budget of the call may vary by up to 10% of the total value of the indicated budget for the call.

- **Topics called:**

Theme/Activity	Topic called	Funding scheme and additional eligibility criteria
ENV.2011.3.1.9-1	Eco-innovation!	<i>Collaborative Project No budget limitation per proposal or upper limit of number of proposals to be funded. Proposals will only be selected for funding on the condition that the estimated EU contribution going to SME(s) is 30% or more of the total estimated EU contribution for the project as a whole. This will be assessed at the end of the negotiation, before signature of the grant agreement. Proposals not fulfilling this criterion will not be funded.</i>
ENV.2011.3.1.9-2	Development of eco-efficiency meso-level indicators for technology assessment	<i>Collaborative Project The requested European Union contribution shall not exceed EUR 2 500 000. A maximum of one project may be funded.</i>
ENV.2011.3.1.9-3	Macro-level Indicators to monitor the environmental impact of innovation	<i>Collaborative Project The requested European Union contribution shall not exceed EUR 2 500 000. A maximum of one project may be funded.</i>
ENV.2011.3.1.9-4	Dynamic e-dissemination systems and platforms for	<i>Coordination and Support Action (Supporting Action).</i>

¹ The Director-General responsible may delay these deadlines by up to two months.

² Under the condition that the draft budget for 2011 is adopted without modifications by the budgetary authority.

	enterprises including SMEs to exploit results for eco-innovation	<i>The requested European Union contribution shall not exceed EUR 1 000 000. A maximum of two projects may be funded. Proposals will only be selected for funding on the condition that the estimated EU contribution going to SME(s) is 25% or more of the total estimated EU contribution for the project as a whole. This will be assessed at the end of the negotiation, before signature of the grant agreement. Proposals not fulfilling this criterion will not be funded.</i>
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• **Indicative budget per topic**

Topics	Indicative Budget
ENV.2011.3.1.9-1 Eco-innovation!	EUR 43 000 000
ENV.2011.3.1.9-2 Development of eco-efficiency meso-level indicators for technology assessment	EUR 7 000 000
ENV.2011.3.1.9-3 Macro-level Indicators to monitor the environmental impact of innovation	
ENV.2011.3.1.9-4 Dynamic e-dissemination systems and platforms for enterprises including SMEs to exploit results for eco-innovation	

In case the budget for the topics ENV.2011.3.1.9-2, ENV.2011.3.1.9-3 and ENV.2011.3.1.9-4 cannot be consumed (totally or partially) the remaining budget will be returned to the topic ENV.2011.3.1.9-1.

• **Eligibility conditions**

The general eligibility criteria are set out in Annex 2 of this work programme, and in the guide for applicants. Please note that the completeness criterion also includes that part B of the proposal shall be readable, accessible and printable.

The minimum number of participating legal entities required, for all funding schemes, is set out in the Rules for Participation. They are summarised in the table below:

Funding scheme	Minimum conditions
Collaborative Project	At least 3 independent legal entities, each of which is established in a MS or AC, and no two of which are established in the same MS or AC.

Coordination and support action (supporting action)	At least 1 independent legal entity.
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Only information provided in part A of the proposal will be used to determine whether the proposal is eligible with respect to budget thresholds and/or minimum number of eligible participants.

The forms of grant and maximum reimbursement rates which will be offered are specified in Annex 3 to the Cooperation work programme. In accordance with Annex 3 of this work programme, this call provides for the possibility to use flat rates to cover subsistence costs incurred by beneficiaries during travel carried out within grants for indirect actions. For further information, see the relevant Guides for Applicants for this call. The applicable flat rates are available at the following website: http://cordis.europa.eu/fp7/find-doc_en.html under 'Guidance documents/Flat rates for daily allowances'.

• **Dissemination**

Grant agreements of projects financed under this call for proposals will include the special clause 39 on the "Open access Pilot in FP7". This includes the obligation on beneficiaries to ensure free access to peer-reviewed articles resulting from projects via an institutional or subject-based repository.

TOPIC ENV.2011.3.1.9-1 Eco-innovation!

The eligibility criteria apply to both first and second stage proposals. At stage 1, part B will be used to check the number of eligible participants. At stage 2, part A will be used alone to determine the eligibility conditions.

No budget limitation per proposal or upper limit of number of proposals to be funded.

Proposals related to solutions beyond prototype stage or proposals that have successfully completed the research and development phase and that are ready for first application or market replication are outside the scope of this call and should be addressed to the competitiveness and Innovation Programme (CIP) on eco-innovation.³ Proposals only addressing technology already existing at the scale of prototype are also outside the scope of this call. They could be eligible in the frame of LIFE+ Environment Programme, provided they remain at the scale of a prototype.⁴

Proposals exclusively targeting energy (including carbon capture and storage) or transport technologies are outside the scope of this call and should be addressed to the relevant Framework Programme Themes.

Evaluation procedure:

Proposals will not be evaluated anonymously. Proposals will be evaluated remotely with the consensus session being held in Brussels. A reserve list of proposals might be established.

³ <http://ec.europa.eu/ecoinnovation>

⁴ <http://ec.europa.eu/environment/life/>

- The evaluation criteria (including weights and thresholds) and sub-criteria together with the eligibility, selection and award criteria for the different funding schemes are set out below and complemented by annex 2 to the work programme.
- The evaluation of the topic ENV.2011.3.1.9-1 shall follow a two stages procedure. The first stage proposal, of a maximum of 15 pages (A4 pages; font size 11 points; top, bottom, left right margins: 15mm) should focus on the S&T content and on clear identification of the intended results, their intended use and the expected impact (economic, social, environmental, etc.), and 2 additional pages to describe the consortium and the estimated financial resources involved.. The Commission will instruct the experts to disregard any pages in excess of these limits.
- Stage 1 proposals shall be submitted by the closure date mentioned above (16/11/2010 at 17:00.00, Brussels local time).
- For successful first-stage proposals, coordinators will receive an invitation to submit their full proposal instead of an *Evaluation Summary Report*. This full proposal will then be evaluated against the entire set of evaluation criteria. The closure date of the second submission will be specified in the invitation to submit the complete proposal. The indicative closure date for stage 2 is 26/04/2011 at 17:00.00, Brussels local time.
- There will be a specific ranking list for the topic ENV.2011.3.1.9-1. At the Review Panel stage, proposals with equal overall scores will be prioritised according to their scores for the S/T Quality criterion. If they are still tied, they will be prioritised according to their scores for the Impact criterion.
- Hearings will not be organised.

Evaluation criteria and thresholds:

Stage 1 proposals for the topic ENV.2011.3.1.9-1 Eco-Innovation! are evaluated on the basis of their **S/T quality** and **Impact**. For each criterion marks from 0 to 5 will be given, with the possibility of 0.5 point scores. Successful proposals must pass the minimum thresholds as follows:

STAGE 1	Minimum threshold
S/T quality	4/5
Impact	3/5
Overall threshold	8/10

Only proposals that will pass all thresholds will be invited to present a full proposal for Stage 2.

Stage 2 proposals are evaluated on the basis of the following three criteria: **1. S/T quality; 2. Implementation; 3. Impact**. For each criterion marks from 0 to 5 will be given, with the possibility of 0.5 point scores. Successful proposals must pass the minimum thresholds as follows:

STAGE 2	Minimum threshold
S/T quality	4/5
Implementation	3/5
Impact	3/5
Overall threshold	11/15

Indicative evaluation and contractual timetable:

Evaluation stage 1 proposals: remote phase in December 2010, consensus phase in January 2011.

Evaluation stage 2 proposals: remote phase in May 2011, consensus phase in June 2011.
Evaluation results estimated to be available by mid July 2011.

Consortia agreements:

Participants are required to conclude a consortium agreement.

TOPIC ENV.2011.3.1.9-2 Development of eco-efficiency meso-level indicators for technology assessment

TOPIC ENV.2011.3.1.9-3 Macro-Indicators to monitor the environmental impact of innovation

TOPIC ENV.2011.3.1.9-4 Dynamic e-dissemination systems and platforms for enterprises including SMEs to exploit results for eco-innovation

Evaluation procedure:

Proposals will not be evaluated anonymously. Proposals will be evaluated remotely with the consensus session being held in Brussels.

The evaluation of the topics ENV.2011.3.1.9-2, ENV.2011.3.1.9-3, and ENV.2011.3.1.9-4 shall follow a single stage procedure. Proposals shall be submitted by the closure date mentioned above (16/11/2010 at 17.00.00, Brussels local time) and evaluated against the entire set of evaluation criteria.

Applicants must ensure that proposals conform to the page limits and layout given in the Guide for Applicants, and in the proposal part B template available through the EPSS. The Commission will instruct the experts to disregard any pages exceeding these limits. Only information provided in Part A of the proposal will be used to determine whether the proposal is eligible with respect to minimum number of eligible participants and budget thresholds.

There will be a ranking list for the topics ENV.2011.3.1.9-2, ENV.2011.3.1.9-3, and ENV.2011.3.1.9-4. At the Review Panel stage, proposals with equal overall scores will be prioritised according to their scores for the S/T Quality criterion. If they are still tied, they will be prioritised according to their scores for the Impact criterion.

Hearings will not be organised.

Evaluation criteria and thresholds:

Proposals are evaluated on the basis of the following three criteria: **1. S/T quality; 2. Implementation; 3. Impact.** For each criterion marks from 0 to 5 will be given, with the possibility of 0.5 point scores. Successful proposals must pass the minimum thresholds as follows:

	Minimum threshold
S/T quality	3/5
Implementation	3/5
Impact	3/5
Overall threshold required	10/15

Indicative evaluation and contractual timetable:

Remote phase in December 2010, consensus phase in January 2011. Evaluation results estimated to be available by end of February 2011. A reserve list of proposals might be established.

Consortia agreements:

Participants in Collaborative Projects are required to conclude a consortium agreement prior to grant agreement. Participants in Coordination and Support Actions are encouraged, but not required, to conclude a consortium agreement.